

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/807,472

NIXON PEABODY, LLP

401 9TH STREET, NW

WASINGTON, DC 20004-2128

22204

SUITE 900

03/24/2004

Osamu Nakamura

740756-2722

CONFIRMATION NO. 2927

FORMALITIES LETTER

OC000000012871654

Date Mailed: 06/04/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

08/05/2004 WABDELR1 00000055 192380 1 01 FC:1001 770.00 DA 02 FC:1051 130.00 DA A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center V / Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

AU6 0 3 2004

IFW \$

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/807,472

Filing Date March 24, 2004

First Named Inventor Osamu NAKAMURA

Group Art Unit 1763

Confirmation No. 2927

Attorney Docket Number 740756-2722

ENCLOSURES (check all that apply)										
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Assignment Papers (for an Application) Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)	 □ After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences □ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) □ Proprietary Information □ Status Letter ☑ Application Data Sheet □ Request for Corrected Filing Receipt with Enclosures □ A self-addressed prepaid postcard for acknowledging receipt □ Other Enclosure(s) (please identify below) 								
	Remarks The Commissioner is required or credit any over above identified docket nu	s hereby authorized to charge any additional fees rpayments to Deposit Account No. 19-2380 for the imber.								
SIGNATU	RE OF APPLICANT, ATTORNEY,									
Firm or Individual name Jeffrey L. Nixon Pea 401 9 th Str Suite 900	Costellia body LLP eet, N.W. Sn, D.C. 20004-2128									
,										

FEE TRANSMITTAL AUG 0 3 2004 FOR FY 2004 Pate fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27
TOTAL AMOUNT OF PAYMENT \$940.00

Complete if Known					
Application Number	10/807,472				
Filing Date	March 24, 2004				
First Named Inventor	Osamu NAKAMURA				
Confirmation No.	2927				
Art Unit	1763				
Attorney Docket No.	740756-2722				

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)						
Check Credit Card Money Other None		3. A	3. ADDITIONAL FEES						
Deposit Account:		Large Entity		Small Entity					
Deposit			Fee Fee Fee Fee Description		on				
Account 19-2380 (740756-2722)			(\$) 130	Code 2051	(\$)	Sumbaras lata Glina fee or o	\$130.00		
Number			50	2051	65 25	Surcharge - late filing fee or o Surcharge - late provisional fil	\$130.00		
,		1052				sheet	ang tee or cover		
Deposit Account Nixon Peabody LLP			130	1053	130	Non-English specification			
Name		1812	2,520	1812	2,520	For filing a request for ex part	e reexamination		
The Commissioner is authorized	d to: (check all that apply)	1804	920*	1804	920*		uesting publication of SIR prior to Examiner		
The Commissioner is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments			1,840*	1805	1,840*	action Requesting publication of SIR			
rm ()	Credit any overpayments			2251		action			
		1251 1252	110	2251	55	Extension for reply within firs			
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.			420	2252	210	* -	for reply within second month		
		1253	950	2253	475	Extension for reply within thir	• •		
	CULATION	1254	1,480	2254	740	Extension for reply within fou			
1. BASIC FILING FEE		1255	2,010	2255	1,005	Extension for reply within fifth	h month		
Large Entity Small Entity Fee Fee Fee	Fee Description	1401	330	2401	165	Notice of Appeal			
Code (\$) Code (\$)	Fee Paid	1402	330	2402	165	Filing a brief in support of an	appeal		
		1403	290	2403	145	Request for oral hearing			
1001 770 2001 385	Utility filing fee \$770	1451	1,510	1451	1,510	Petition to institute a public us	_	·	
1002 340 2002 170	Design filing fee	1452	110	2452	55	Petition to revive – unavoidab			
1003 530 2003 265	Plant filing fee	1453	1,330	2453	665	Petition to revive - unintention			
1004 770 2004 385	Reissue filing fee	1501	1,330	2501	665	Utility issue fee (or reissue)			
1005 160 2005 80	Provisional filing fee	1502	480	2502	240	Design issue fee			
SUBTOTAL (1) \$770.00		1503	640	2503	320	Plant issue fee			
		1460	130	1460	130	Petitions to the Commissioner			
		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
2. EXTRA CLAIM FEES FO	OR UTILITY AND REISSUE	1806	180	1806	180	Submission of Information Disclosure Stmt			
Fee from Extra Claims below Fee Paid		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		\$40.00	
Total Claims20 =	X = 0	1809	770	2809	385	Filing a submission after final	rejection		
Independent -3 =	x = 0	1810	770	2810	385	(37 CFR 1.129(a)) For each additional invention t	to be examined		
Claims Multiple Dependent	X = 0	1801	770	2801	385	(37 CFR 1.129(b)) Request for Continued Examir	nation (RCE)		
Large Entity Small Entity Fee Fee Fee	Fee Description	1802	900	1802	900	Request for expedited examina application	ition of a design		
Code (\$) Code (\$)	<u> </u>	Other	ree (specif	י ∿ו		аррисации			
1202 18 2202 9	Claims in excess of 20		от (оргол	,, <u> </u>					
1201 86 2201 43	Independent claims in excess of 3	*Redu	ced by Ba	sic Filin	g Fee Paid	SUBTOTAL (3)	\$170.00		
1203 290 2203 145	Multiple dependent claim, if not paid		-					_	
	** Reissue independent claims over							_	
1205 18 2205 9	original patent ** Reissue claims in excess of 20 and over original patent								
	BTOTAL (2) (\$) 0								
**or number previously paid, if gre									
SUBMITTED BY					Complete (if a-	nlicable)			
Jeffrey L. Obstellia			Complete (if applicable) Respectation No. 35,483 Telephone (202) 585-8000)			
Signature			Mforney/Agent) Telephone (C2) Date August 3, 2004						
August 3, 2004									
	1/ 1								